

CORPORATE COMMITTEE 02 DECEMBER 2021

Report title: Action Monitoring and Work Programme 2021-22

Wards: All

Portfolio: Cabinet Member for Finance and Performance: Councillor Andy Wilson

Report Authorised by: Fiona McDermott: Strategic Director for Finance and Investment

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Report summary

This report is to assist Corporate Committee in monitoring actions raised from previous meetings and also gives a summary of reports/items expected for future meetings.

Finance summary

There are no financial implications from approving the work programme.

Recommendations

1. To note the Corporate Committee's work programme for 2021-22 (Appendix A).
2. To note the Corporate Committee's actions monitoring log (Appendix B).

1. CONTEXT

- 1.1 The Corporate Committee receives a regular series of reports relating to the functions set out in paragraph 2.1 and 2.2, and these are listed in Appendix A. In addition to these reports the Committee can determine its own work programme based on its roles including acting as the Council's audit committee.

2. PROPOSAL AND REASONS

Terms of Reference

- 2.1 The Committee's terms of reference set out its remit as the Council's audit committee which includes oversight of the following for areas where the Committee receives reports from officers and the Council's external auditor:
- a. Internal Audit and Investigation functions, including the consideration of strategies and policies, the annual assurance report, regular reports on completed work and performance of the external provider;
 - b. External Audit annual letter and related reports;
 - c. Review the annual statement of accounts and accounting policies;
 - d. Risk management arrangements;
 - e. Corporate Governance arrangements;
 - f. Annual Governance Statement;
 - g. Whistle blowing arrangements;
 - h. Bribery Act arrangements;
 - i. Regulation of Investigatory Powers Act 2000; and,
 - j. Referrals from other committees.
- 2.2 The Committee's terms of reference also include a number of non-audit responsibilities including the following where periodic reports are received and considered by the Committee:
- a. Approve the Council's statement of accounts;
 - b. Approve the Council Tax base and the National Non-Domestic Rate base;
 - c. Reports produced by the Strategic Director for Corporate Resources or the Chief Finance Officer;
 - d. Reports on personnel-related issues and Health and safety at work;
 - e. Local Government Ombudsman reports;
 - f. Maintain the Council's Constitution (insofar as it relates to the discharge of non-executive functions) and Financial Regulations;
 - g. Trustee of Trusts;
 - h. Elections;
 - i. Nominations for Freedom of the Borough;
 - j. Orders for designated public places;
 - k. Rush Common Act 1806; and,
 - l. Naming of local streets and buildings.

3. FINANCE

- 3.1 This report sets work for the Committee's oversight of the Council's financial administration for the year 2021-22. However, the report does not seek decisions which give rise to any direct capital or revenue financial implications.

4. LEGAL AND DEMOCRACY

4.1 There were no specific legal comments arising from this report.

4.2 There were no further comments from Democratic Services.

5. CONSULTATION AND CO-PRODUCTION

5.1 The Chair of Corporate Committee is regularly consulted on future items and general business that is likely to be presented to Corporate Committee.

6. RISK MANAGEMENT

6.1 An ineffective audit committee could result in inadequate governance, risk and control arrangements remaining unchallenged, resulting in increased risks of fraud, waste or error and the potential for adverse criticism from the external auditor or other agencies.

7. EQUALITIES IMPACT ASSESSMENT

7.1 None.

8. COMMUNITY SAFETY

8.1 None.

9. ORGANISATIONAL IMPLICATIONS

9.1 None.

10. TIMETABLE FOR IMPLEMENTATION

10.1 Not applicable.

Audit Trail				
Consultation				
Name/Position	Lambeth directorate/department or partner	Date Sent	Date Received	Comments in para:
Fiona McDermott, Strategic Director	Finance and Investment	26.10.21	09.11.21	
Christina Thompson, Director of Finance and Property	Finance and Investment	26.10.21	02.11.21	
Andrew Pavlou, Principal Lawyer Governance	Legal and Governance	26.10.21	01.11.21	
Hamant Bharadia: Assistant Director of Finance	Finance and Investment	26.10.21	15.11.21	
Justin Martin, Internal Audit and Counter Fraud	PricewaterhouseCoopers	26.10.21	02.11.21	
Maria Burton, Democratic Services	Legal and Governance	26.10.21	26.10.21	

Report History	
Original discussion with Cabinet Member	N/A
Report deadline	19.11.21
Date final report sent	15.11.21
Part II Exempt from Disclosure/confidential accompanying report?	No
Key decision report	No
Date first appeared on forward plan	N/A
Key decision reasons	N/A
Background information	N/A
Appendices	Appendix A – Corporate Committee Work Programme 2021-22 Appendix B - Corporate Committee Action Monitoring 2021-22